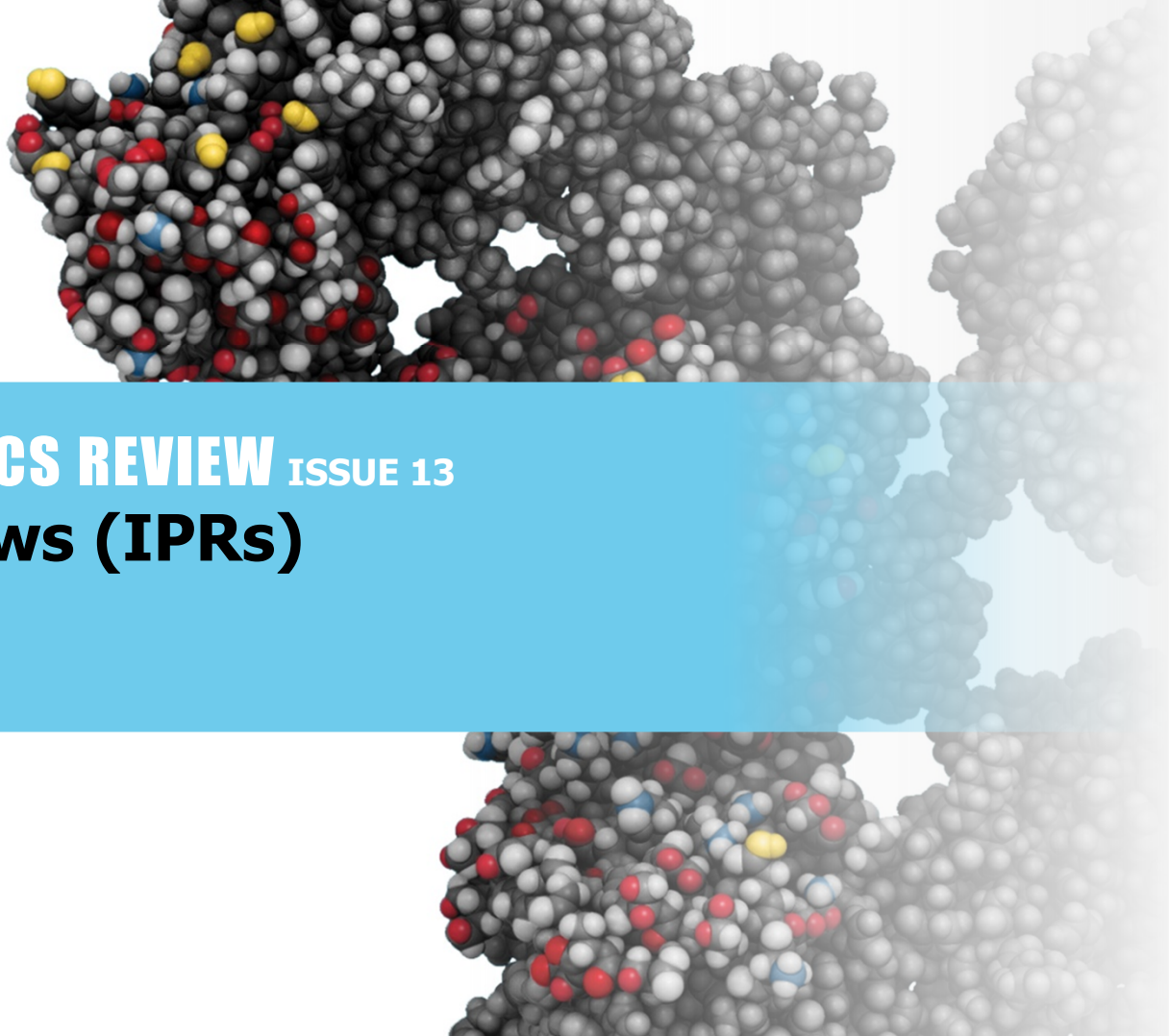


Morgan Lewis

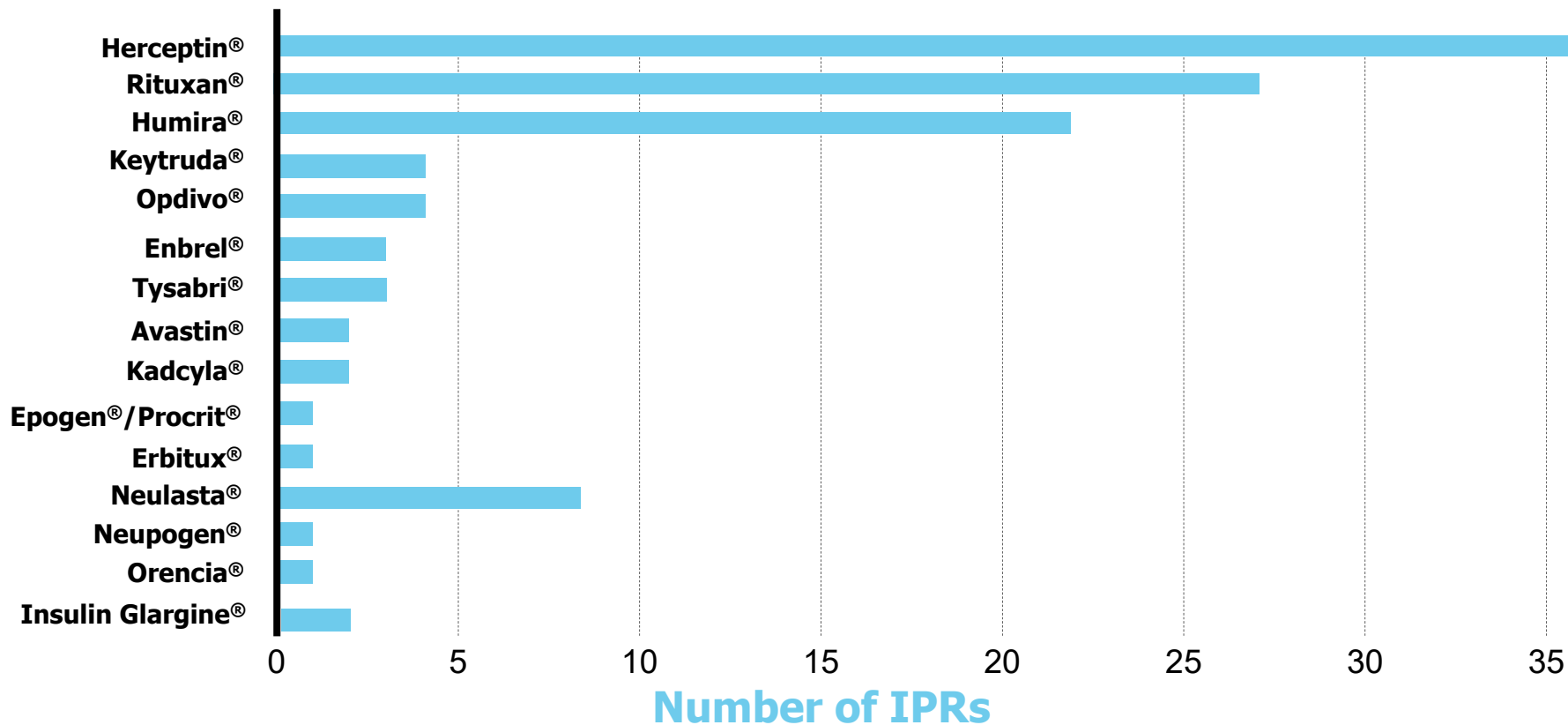


BLOCKBUSTER BIOLOGICS REVIEW ISSUE 13 **Inter Partes Reviews (IPRs) and Litigations**

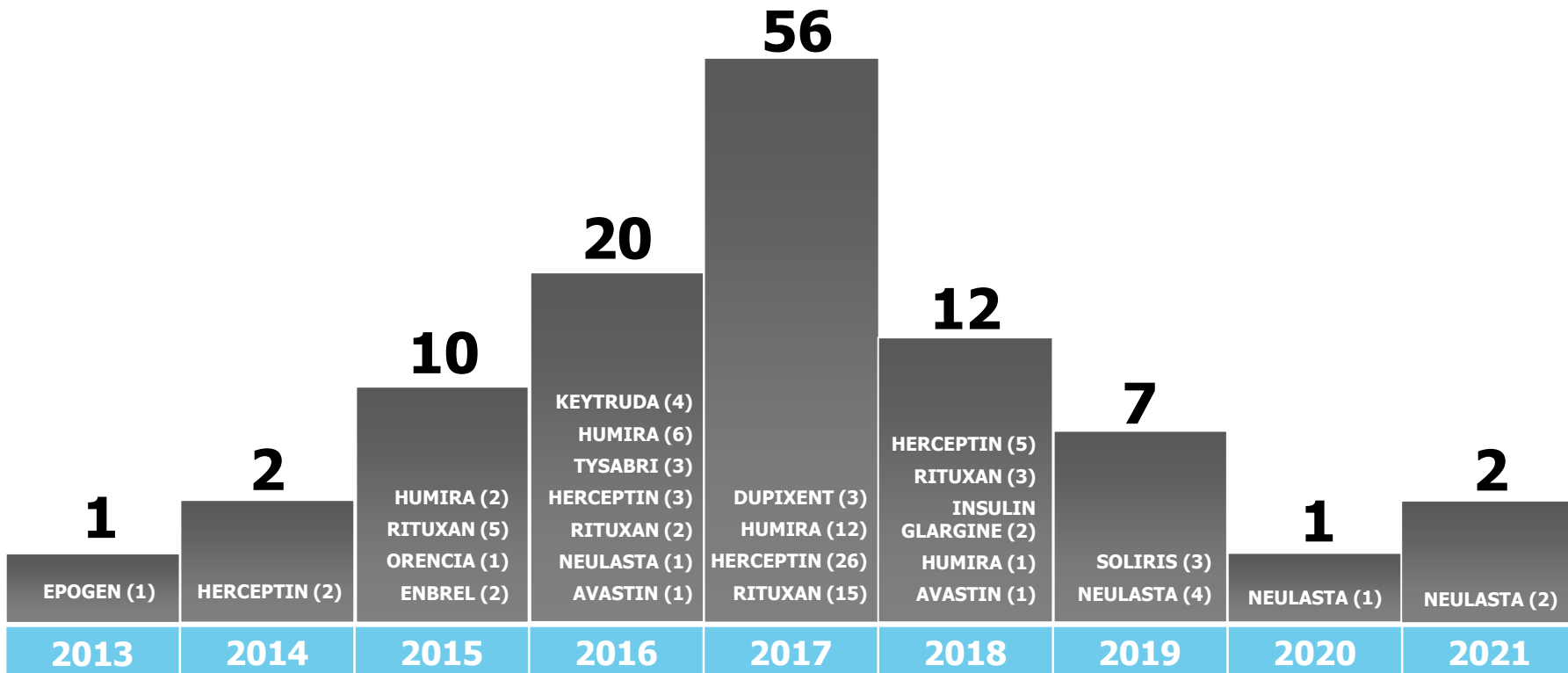
> **Quick statistics:**

- > The current institution rate for IPR challenges to patents that claim biologics is 37% (excludes IPRs that have settled or otherwise been terminated)
- > Of those IPRs instituted and that have gone to final written decision (FWD), 43% have resulted in the challenged claims being held unpatentable, with 60% having mixed results

IPRs by Reference Product

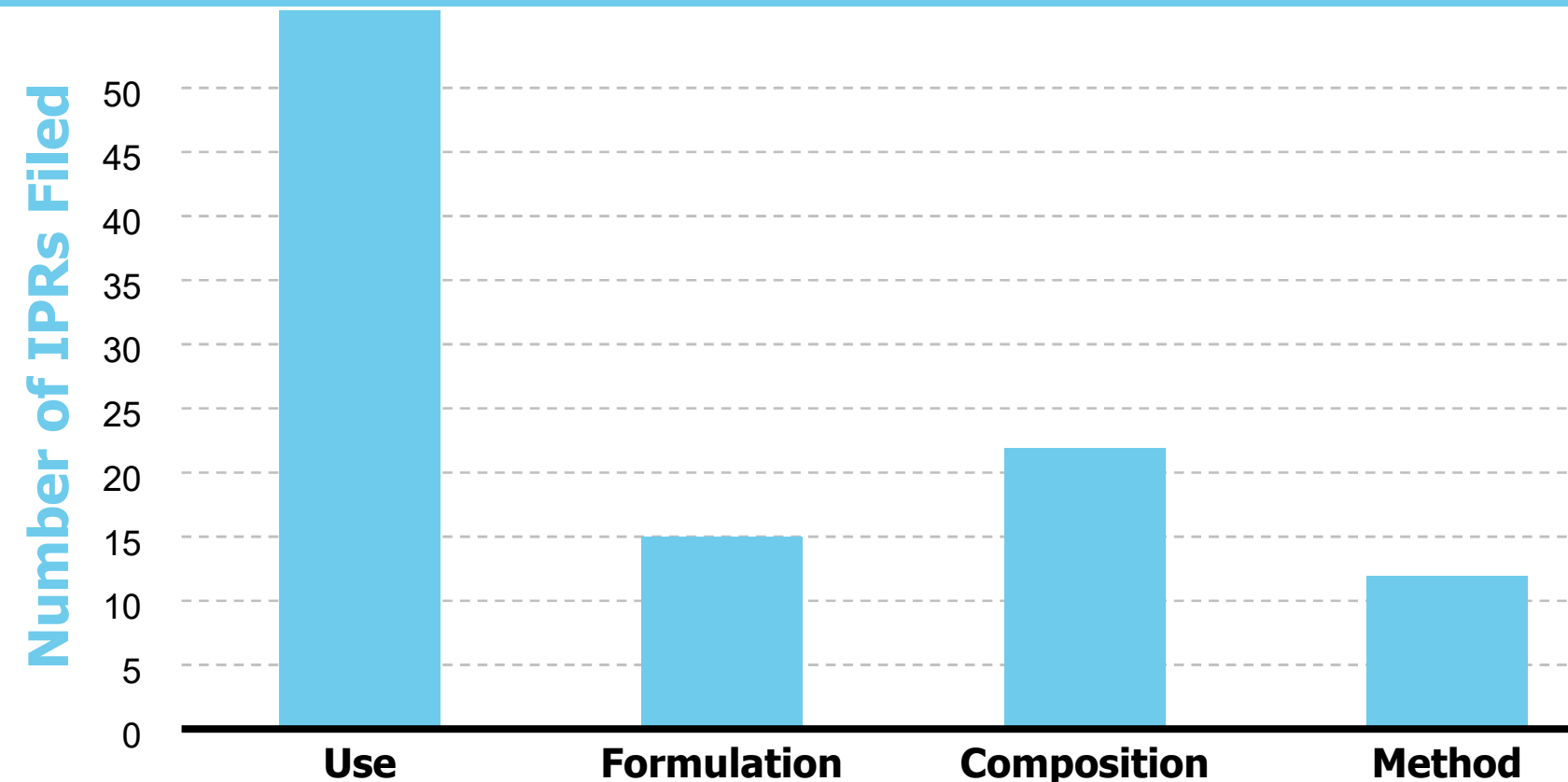


IPR Timeline



US Patent and Trademark Office (USPTO)
(Fiscal Year: October–September)

Types of Claims Being Challenged



IPR Scorecard – Institution

Product (# of IPRs)	Challenger	Pend. Inst.	Pet. Not Inst.	Sett. Term.	Inst.*
Humira (22)	Amgen	0	2	-	-
	Boehringer Ingelheim	0	-	-	2
	Coherus	0	5	2	3
	Sandoz	0	6	2	-
Rituxan (27)	Boehringer Ingelheim	0	1	2	-
	Celltrion	0	6	2	3
	Pfizer	0	5	3	3
	Sandoz	0	2	-	-
Herceptin (36)	Phigenix	0	1	-	1
	Mylan	0	-	2	-
	Hospira	0	1	-	5
	Celltrion	0	-	1	6
	Pfizer	0	5	2	4
	Samsung	0	1	-	5
	Boehringer Ingelheim	0	-	2	-
Tysabri (3)	Swiss Pharma	0	3	-	-

IPR Scorecard – Institution (cont.)

Product (# of IPRs)	Challenger	Pend. Inst.	Pet. Not Inst.	Sett. Term.	Inst.*
Avastin (2)	Hospira	0	1	-	1
Orencia (1)	Momenta	0	-	-	1
Neulasta (8)	Apotex	0	-	-	1
	Fresenius Kabi	0	1	2	-
	Kashiv Biosciences	0	-	2	-
	Lupin	0	1	-	-
	Hospira	1	-	-	-
	Enbrel (3)	Kyle Bass	0	1	-
	Coherus	0	2	-	-
Epogen (1)	Hospira	0	-	1	-
Keytruda (4)	Merck	0	0	4	-
Dupixent (3)	Sanofi-Aventis	0	1	-	2
Soliris (3)	Amgen	0	0	-	3
Insulin Glargine (2)	Mylan	0	0	-	2
TOTALS		1	45	27	42

Institution rate = 42/114 = 37%

**** IPRs instituted but later settled or otherwise terminated are not included***

IPR Scorecard – FWDs

Product (# of IPRs)	Challenger	Inst.*	FWD (invalid)	FWD (upheld)	Mixed
Humira (22)	Amgen	-	-	-	-
	Boehringer Ingelheim	2	2	-	-
	Coherus	3	3	-	-
	Sandoz	-	-	-	-
Rituxan (27)	Boehringer Ingelheim	-	-	-	-
	Celltrion	3	1	1	-
	Pfizer	3	1	1	-
	Sandoz	-	-	-	-
Herceptin (36)	Phigenix	1	-	1	-
	Mylan	-	-	-	-
	Hospira	5	3	2	-
	Celltrion	6	2	2	2
	Pfizer	4	1	-	2
	Samsung	5	1	2	2
	Boehringer Ingelheim	-	-	-	-

IPR Scorecard – FWDs (cont.)

Product (# of IPRs)	Challenger	Inst.*	FWD (invalid)	FWD (upheld)	Mixed
Tysabri (3)	Swiss Pharma	-	-	-	-
Avastin (2)	Hospira	1	1	-	-
Orencia (1)	Momenta	1	-	1	-
Neulasta (5)	Apotex	1	-	-	1
	Fresenius Kabi	1	-	-	-
	Kashiv Biosciences	2	-	-	-
Enbrel (3)	Kyle Bass	-	-	-	-
	Coherus	-	-	-	-
Epogen (1)	Hospira	-	-	-	-
Keytruda (4)	Merck	-	-	-	-
Dupixent (3)	Sanofi-Aventis	2	1	1	-
Insulin Glargine (2)	Mylan	2	2	-	-
TOTALS		42	18	11	7

Invalidation rate = 18/42 = 43%, with mixed results 60%

**** IPRs instituted but later settled or otherwise terminated are not included***

Blockbuster Biologics: IPR Appeals (Humira)

Patent Owner	Challenger	Patent No.	IPR No. (Appeal No.)	Decision Appealed	Status of Appeal
AbbVie	Coherus	8,889,135	2016-00172 (2017-2304)	Claims Invalid	<ul style="list-style-type: none">• All of these appeals have been consolidated• Federal Circuit affirmed five FWDs, finding claims unpatentable as obvious
AbbVie	Boehringer Ingelheim	8,889,135	2016-00408 (2017-2362)	Claims Invalid	
AbbVie	Boehringer Ingelheim	8,889,135	2016-00409 (2017-2363)	Claims Invalid	
AbbVie	Coherus	9,017,680	2016-00188 (2017-2305)	Claims Invalid	
AbbVie	Coherus	9,017,987	2016-00189 (2017-2306)	Claims Invalid	

Blockbuster Biologics: IPR Appeals (Rituxan)

Patent Owner	Challenger	Patent No.	IPR No. (Appeal No.)	Decision Appealed	Status of Appeal
Genentech	Celltrion	7,820,161	2016-1614 (2018-1885) 2017-01115 joined (2018-1924)	Claims Valid	<ul style="list-style-type: none"> • Appeal No. 2016-1614 voluntarily dismissed • Appeal No. 2018-1885 dismissed with prejudice as part of Settlement and License Agreement • Appeal No. 2018-1924 dismissed as part of litigation settlement (Case No. 18-574-RMB-KMW (D.N.J.))
Biogen	Pfizer	8,821,873	2017-01168 (2019-1364)	Claims Invalid	<ul style="list-style-type: none"> • Biogen challenging constitutionality of IPRs • Pfizer not participating in appeal • USPTO intervened in appeal • Parties voluntarily dismissed appeal • Issues fully briefed • Affirmed Board's decision

Blockbuster Biologics: IPR Appeals (Herceptin)

Patent Owner	Challenger	Patent No.	IPR No. (Appeal No.)	Decision Appealed	Status of Appeal
Genentech	Hospira	7,807,799	2016-01837 (2018-1933)	Claims Invalid	<ul style="list-style-type: none"> USPTO intervened Affirmed Board's decision that challenged claims as unpatentable on anticipation and obviousness grounds
Genentech	Hospira	7,846,441	2017-00731 (2019-1263)	Claims Invalid	<ul style="list-style-type: none"> Hospira withdrew as party due to settlement, and USPTO intervened Lead case – consolidated with 2019-1267 Appeal submitted on briefs Affirmed Board's decision that challenged claims as unpatentable on obviousness grounds
Genentech	Celltrion	7,846,441	2017-01121 (2019-1267)	Claims Invalid	<ul style="list-style-type: none"> USPTO intervened Consolidated with 2019-1263 Affirmed Board's decision
Genentech	Hospira	6,627,196	2017-00804/ 2017-01958 joined (2019-1173)	Claims Valid	<ul style="list-style-type: none"> Lead case – consolidated with 2019-1174 Appeal voluntarily dismissed

Blockbuster Biologics: IPR Appeals (Herceptin) (cont.)

Patent Owner	Challenger	Patent No.	IPR No. (Appeal No.)	Decision Appealed	Status of Appeal
Genentech	Hospira	7,371,379	2017-00805/ 2017-01959 joined (2019-1174)	Claims Valid	<ul style="list-style-type: none"> Consolidated with 2019-1173 Appeal voluntarily dismissed
Genentech	Celltrion	6,627,196	2017-01139 (2019-1258)	Claims Valid	<ul style="list-style-type: none"> Consolidated with 2019-1259 Parties dismissed appeal
Genentech	Celltrion	7,371,379	2017-01140 (2019-1259)	Claims Valid	<ul style="list-style-type: none"> Consolidated with 2019-1258 Parties dismissed appeal
Genentech	Hospira	7,892,549	2017-00737/ 2017-01960 joined (2019-1265)	Claims Invalid	<ul style="list-style-type: none"> Hospira withdrew as party due to settlement Samsung Bioepis withdrew as party Lead-consolidated with 2019-1270 Affirmed Board's decision that challenged claims as unpatentable on obviousness grounds
Genentech	Celltrion	7,892,549	2017-01122 (2019-1270)	Claims Invalid	<ul style="list-style-type: none"> USPTO allowed to intervene Affirmed Board's decision

Blockbuster Biologics: IPR Appeals (Neulasta)

Patent Owner	Challenger	Patent No.	IPR No. (Appeal No.)	Decision Appealed	Status of Appeal
Amgen	Apotex	8,952,138	2016-01542 (2019-2171)	Claims Invalid	<ul style="list-style-type: none">• Amgen filed Notice of Appeal• USPTO allowed to intervene• Amgen raised Appointments Clause challenge• Board's decision vacated and case remanded to Board for proceedings consistent with court's decision in <i>Arthrex</i>

Blockbuster Biologics: IPR Appeals (Avastin)

Patent Owner	Challenger	Patent No.	IPR No. (Appeal No.)	Decision Appealed	Status of Appeal
Genentech	Hospira	7,622,115	2016-01771 (2018-1959)	Claims Invalid	<ul style="list-style-type: none">• Includes constitutional challenge regarding retroactive application of IPR to pre-AIA patent• United States intervened• Oral argument held July 11, 2019• Judgment affirmed

Blockbuster Biologics: IPR Appeals (Orencia)

Patent Owner	Challenger	Patent No.	IPR No. (Appeal No.)	Decision Appealed	Status of Appeal
Bristol-Myers Squibb	Momenta	8,476,239	2015-01537 (2017-1694)	Claims Valid	<ul style="list-style-type: none">Federal Circuit dismissed appeal for lack of standing/jurisdiction and for mootness

Post-Grant Reviews (PGRs)

- > Only one PGR has been filed to date in connection with a blockbuster biologic (US 9,856,287)

Product (# IPRs)	Challenger	Pend. Inst.	Pet. Not Inst.	Sett. Term.	Inst.
Neupogen (1)	Adello/Apotex	-	-	-	1

US BIOSIMILAR-RELATED PATENT LITIGATIONS

US Biosimilar Litigations: Developments (cont.)

> Summary of Nine Humira Biosimilar Settlements

Party	US Market Entry	EP Market Entry
Amgen	January 31, 2023	October 16, 2018
Biogen and Samsung Bioepis	June 30, 2023	October 16, 2018
Mylan	July 31, 2023	- - -
Sandoz	September 30, 2023	October 16, 2018
Fresenius Kabi	September 30, 2023	Upon approval
Momenta	November 20, 2023	- - -
Pfizer	November 20, 2023	- - -
Coherus	December 15, 2023	- - -
Boehringer Ingelheim	July 1, 2023	- - -

US Biosimilar Litigations: Developments (cont.)

- > Products in patent litigation that we are monitoring include:
 - > Avastin
 - > Herceptin
 - > Neupogen
 - > Enbrel
 - > Humira
 - > Remicade
 - > Epogen
 - > Neulasta
- > These litigations are summarized on the following slides

Blockbuster Biologics: US Litigation Scorecard – Humira

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims*	Status
Humira (7)	<i>AbbVie v. Amgen</i>	No. 16-666-MSG (D. Del.)	10	M, F, U, C	• Settled – US launch of Amjevita expected January 31, 2023
	<i>AbbVie v. Boehringer Ingelheim</i>	No. 17-1065-SLR (D. Del.)	8	M, F, U, C	• Parties stipulated to dismissal
	<i>AbbVie v. Sandoz</i>	No. 18-12668 (D.N.J.)	2	U, F	• Settled – US launch of Hyrimoz expected September 20, 2023
	<i>Coherus v. Amgen</i>	No. 19-00139 (D. Del.)	3	C	• Parties stipulated to dismissal • Amgen’s filed motion for determination of exceptional case and award of fees denied

*See slide 52 for legend of abbreviations.

Blockbuster Biologics: US Litigation Scorecard – Humira

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims*	Status
Humira (7)	<i>AbbVie v. Alvotech</i>	No. 21-2258 (N.D. Ill.)	4	F, M, U	<ul style="list-style-type: none"> Complaint filed April 27, 2021 Motion to dismiss filed by Defendants
	<i>Alvotech v. AbbVie</i>	No. 21-00265 (E.D. Va.)	4	F, M, U	<ul style="list-style-type: none"> Complaint filed May 11, 2021 including counts for declaratory judgment of non-infringement and invalidity of AbbVie's patents Motion to dismiss or, in alternative, transfer filed by Defendants
	<i>AbbVie v. Alvotech</i>	No. 21-02899 (N.D. Ill.)	58	F, M, U	<ul style="list-style-type: none"> Complaint filed May 28, 2021

*See slide 52 for legend of abbreviations.

Blockbuster Biologics: US Litigation Scorecard – Rituxan

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims	Status
Rituxan (4)	<i>Genentech v. Sandoz</i>	No. 17-13507-RMB-KMW (D.N.J.)	24	M, U, C	<ul style="list-style-type: none"> Stipulated dismissal without prejudice Sandoz decided not to pursue its FDA submission for its biosimilar
	<i>Celltrion v. Genentech</i>	No. 18-276-JSW (N.D. Cal.) No. 18-2161 (Fed. Cir.) (consolidated with No. 18-2160)	37	M, U	<ul style="list-style-type: none"> Genentech's Motion to Dismiss granted Final judgment appealed to Federal Circuit Appeal voluntarily dismissed
	<i>Genentech v. Celltrion</i>	No. 18-574-RMB-KMW (D.N.J.)	40	M, U, C	<ul style="list-style-type: none"> Settled
	<i>Genentech v. Celltrion</i>	No. 18-11553 (D.N.J.) (consolidated with No. 18-574-RMB-KMW)	18 (Claims mirror those of No. 18-574-RMB-KMW— filed to ensure compliance with BPCIA)	M, U, C	<ul style="list-style-type: none"> Settled

Blockbuster Biologics: US Litigation Scorecard – Herceptin

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims	Status
Herceptin (6)	<i>Celltrion v. Genentech</i>	No. 18-274-JSW (N.D. Cal.) No. 18-2160 (Fed. Cir.)	38	M, U, C	<ul style="list-style-type: none"> • Genentech’s Motion to Dismiss granted • Final judgment appealed to Federal Circuit • Appeal voluntarily dismissed
	<i>Genentech v. Celltrion</i>	No. 18-095-CFC (D. Del.)	40	M, U, C	<ul style="list-style-type: none"> • All Delaware cases are before Judge Connolly and being coordinated • <i>Markman</i> hearing April 2019 • Trial in December 2019 • Lead case • Settled
	<i>Genentech v. Pfizer</i>	No. 17-1672-CFC (D. Del.)	40	M, U, C	<ul style="list-style-type: none"> • Settled

Blockbuster Biologics: US Litigation Scorecard – Herceptin (cont.)

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims	Status
Herceptin (6)	<i>Genentech v. Amgen</i>	No. 18-924-CFC (D. Del.)	37	M, U, C	• Parties stipulated to dismissal on July 7, 2020
	<i>Genentech v. Celltrion</i>	No. 18-1025-CFC (D. Del.)	40	M, U, C	• Settled
	<i>Genentech v. Samsung Bioepis</i>	No. 18-01363-CFC (D. Del.)	21	M, U, C	• Dismissed due to settlement

Blockbuster Biologics: US Litigation Scorecard – Neupogen

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims	Status
Neupogen (7)	<i>Amgen v. Sandoz</i>	No. 14-04741-RS (N.D. Cal.) No. 15-1499 (Fed. Cir.) Nos. 15-1039, 15-1195 (Supreme Court) No. 18-1551 (Fed. Cir.)	1	M	<ul style="list-style-type: none"> Complaint alleged Sandoz violated BPCIA by (1) failing to provide its aBLA and manufacturing information within 20 days of FDA acceptance and (2) providing notice of commercial marketing before FDA approval of its aBLA District court ruled in favor of Sandoz; on appeal, Federal Circuit and Supreme Court did the same District court subsequently granted Sandoz's Motion for Summary Judgment of Non-infringement; affirmed on appeal Petition for rehearing en banc denied
	<i>Amgen v. Apotex</i>	No. 15-62081-JIC (S.D. Fla.)	2	M, C	<ul style="list-style-type: none"> Consolidated with <i>Amgen v. Apotex</i> pegfilgrastim (Neulasta) litigation, No. 15-61631, where district court entered judgment of non-infringement for Sandoz Affirmed

Blockbuster Biologics: US Litigation Scorecard – Neupogen (cont.)

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims	Status
Neupogen (7)	<i>Amgen v. Kashiv</i>	No. 18-3347-JMV-SCM (D.N.J.)	17	M	<ul style="list-style-type: none"> Amended Complaint filed, reducing number of patents to four and naming Amneal Pharmaceuticals as co-defendant Amneal moved to dismiss Amended Complaint for failure to state claim and lack of subject-matter jurisdiction Claim construction briefed June 10, 2019, Kashiv substituted in place of Adello November 25, 2019, parties stipulated to dismissal without prejudice
	<i>Amgen v. Hospira</i>	No. 18-1064 (D. Del.)	1	M	<ul style="list-style-type: none"> Scheduling Order issued: Close of fact discovery was August 23, 2019 <i>Markman</i> hearing held May 15, 2019 Trial set for September 2021
	<i>Sandoz v. Amgen</i>	No. 19-00977 (N.D. Cal.)	1	M	<ul style="list-style-type: none"> Sandoz voluntarily dismissed action without prejudice

Blockbuster Biologics: US Litigation Scorecard – Neupogen (cont.)

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims	Status
Neupogen (7)	<i>Amgen v. Tanvex</i>	No. 19-1374-AJB-MSB (S.D. Cal.)	1	M	<ul style="list-style-type: none"> Complaint and Answer to Complaint filed December 19, 2019, parties entered into stipulation of dismissal without prejudice
	<i>Amgen v. Hospira</i>	No. 20-561 (D. Del.)	1	M	<ul style="list-style-type: none"> Complaint filed April 24, 2020 Motion to stay until 14 days after resolution of <i>Amgen v. Hospira</i>, No. 18-1064-CFC granted.

Blockbuster Biologics: US Litigation Scorecard – Neulasta

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims	Status
Neulasta (7)	<i>Amgen v. Apotex</i>	No. 15-61631-JIC (S.D. Fla.) No. 16-1308 (Fed. Cir.) No. 17-1010 (Fed. Cir.) No. 16-332 (Supreme Court)	2	M, F	<ul style="list-style-type: none"> • Amgen found not to infringe • Supreme Court denied Apotex’s Petition for Certiorari • Federal Circuit affirmed district court ruling • District court: <ol style="list-style-type: none"> 1) granted Amgen’s Motion for Summary Judgment re: invalidity defenses except non-enablement 2) awarded judgment of non-infringement for Apotex 3) dismissed Apotex’s non-enablement defense without prejudice
	<i>Amgen v. Sandoz</i>	No. 16-1276-SRC-CLW (D.N.J.)	Litigation over whether Sandoz violated BPCIA	NA	<ul style="list-style-type: none"> • Dismissed after Sandoz restarted patent dance negotiations

Blockbuster Biologics: US Litigation Scorecard – Neulasta (cont.)

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims	Status
Neulasta (7)	<i>Amgen v. Sandoz</i>	No. 16-02581-RS (N.D. Cal.) No. 18-1552 (Fed. Cir.) (consolidated with No. 18-1551)	2	M, F	<ul style="list-style-type: none"> On appeal, fully briefed, pending scheduling of oral argument Summary Judgment of Non-infringement granted for Sandoz Affirmed
	<i>Amgen v. Coherus</i>	No. 17-546-LPS (D. Del.) No. 18-1993 (Fed. Cir.)	1	M	<ul style="list-style-type: none"> Court granted Coherus's Motion to Dismiss for Failure to State a Claim Judgment entered against Amgen, and case was dismissed Affirmed

Blockbuster Biologics: US Litigation Scorecard – Neulasta (cont.)

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims	Status
Neulasta (7)	<i>Amgen v. Mylan</i>	No. 17-1235-MRH (W.D. Pa.)	2	M	<ul style="list-style-type: none"> • Claim Construction Order issued • Amgen ordered to file, with infringement contentions, a statement identifying facts relied on outside of Mylan’s FDA filings • Motion for Summary Judgment of Non-infringement of US Patent No. 9,643,997 filed – ruling deferred • Abeyance in place pending further order to be issued around August 14, 2019 • Parties stipulated to non-infringement of US Patent No. 9,643,997
	<i>Amgen v. Apotex</i>	No. 18-61828 (S.D. Fla.)	1	M	<ul style="list-style-type: none"> • District court denied Apotex’s motion to dismiss Amgen’s complaint for failure to state a claim • Joint Claim Construction Statement filed • Accord Biopharma substituted in place of Apotex as defendant in August 2019 • November 14, 2019, parties entered into stipulation of dismissal without prejudice

Blockbuster Biologics: US Litigation Scorecard – Neulasta (cont.)

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims	Status
Neulasta (7)	<i>Amgen v. Hospira</i>	No. 20-201 (D. Del.)	1	M	<ul style="list-style-type: none"> • Complaint filed February 11, 2020 • Hospira and Pfizer filed Motion to Dismiss for Failure to State a Claim, arguing that Amgen surrendered subject matter during prosecution • Motion to Dismiss denied • Case stayed following claim construction order until decision made as to whether early summary judgment practice as to non-infringement should be entertained

Blockbuster Biologics: US Litigation Scorecard – Enbrel

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims	Status
Enbrel (2)	<i>Immunex v. Sandoz</i>	No. 16-01118-CCC-JBC (D.N.J.) No. 20-1037 (Fed. Cir.)	5	C, F, U	<ul style="list-style-type: none"> • Before trial, Sandoz stipulated to infringement to certain asserted claims of two of the five patents-in-suit • Bench trial held September 2018 and district court judge ruled in favor of Immunex, holding patents-in-suit were valid • Sandoz appealed to Federal Circuit • Federal Circuit affirmed on July 1, 2020 • Petition for rehearing en banc denied
	<i>Immunex v. Samsung Bioepis</i>	No. 19-11755-CCC (D.N.J.)	5	C, U, M, F	<ul style="list-style-type: none"> • Court entered Consent Injunction Order on January 8, 2020, prohibiting Samsung Bioepis from using, importing, offering to sell, or selling any etanercept product in the US, except as allowed by 35 U.S.C. § 271(e)(1) safe harbor • January 15, 2020, court endorsed parties' proposed order to administratively stay the case, consistent with the Confidential Stipulation and Consent Injunction

Blockbuster Biologics: US Litigation Scorecard – Epogen

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims	Status
Epogen (1)	<i>Amgen v. Hospira</i>	No. 15-839-RGA (D. Del.) No. 16-2179 (Fed. Cir.) (appeal was dismissed) No. 19-1067 and No. 19-1102 (Fed. Cir.)	2	C, M	<ul style="list-style-type: none"> • Jury found infringement and awarded \$70M in damages • Final judgment entered with pre- and post-judgment interest • Hospira appealed, arguing that all of its batches of product should be subject to safe-harbor provision about which jury was given erroneous instructions • Amgen responded that there was sufficient evidence supporting jury's finding that only seven of 21 drug batches qualified for safe harbor • Oral argument held September 30, 2019 • Judgment affirmed December 16, 2019 • Petition for Rehearing and Petition for Rehearing En Banc denied

Blockbuster Biologics: US Litigation Scorecard – Avastin

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims	Status
Avastin (8)	<i>Genentech v. Amgen</i>	No. 17-165-GMS (D. Del.)	Litigation over violations of BPCIA	NA	<ul style="list-style-type: none"> Dismissed complaint without prejudice
	<i>Amgen v. Genentech</i>	No. 17-7349-GW-AGR (C.D. Cal.)	27	M, C, F, U	<ul style="list-style-type: none"> Genentech's Motion to Dismiss for Lack of Subject-Matter Jurisdiction granted
	<i>Genentech v. Amgen</i>	No. 17-1407-CFC (D. Del.)	24	M, C, F, U	<ul style="list-style-type: none"> Consolidated with No. 17-1471 Lead case Granted Genentech's motion to dismiss Amgen's counterclaims, seek declaratory judgment that two patents are invalid, unenforceable, not infringed for lack of subject-matter jurisdiction Joint Stipulation of Dismissal filed on July 7, 2020.
	<i>Genentech v. Amgen</i>	No. 17-1471-CFC (D. Del.)	25	M, C, F, U	<ul style="list-style-type: none"> Consolidated with No. 17-1407
	<i>Genentech v. Pfizer</i>	No. 19-00638-CFC (D. Del.)	22	M, C, F, U	<ul style="list-style-type: none"> Settled

Blockbuster Biologics: US Litigation Scorecard – Avastin (cont.)

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims	Status
Avastin (8)	<i>Genentech v. Immunex and Amgen</i>	No. 19-00602-CFC (D. Del.) No. 19-2155 (Fed. Cir.)	14	M, C, F, U	<ul style="list-style-type: none"> • Genentech’s motion to enforce statutory prohibition on commercial marketing and TRO denied • Federal Circuit denied Genentech’s Motion for an Injunction Pending Appeal • Genentech appeal regarding commercial marketing • Federal Circuit affirmed
	<i>Genentech v. Samsung Bioepis</i>	No. 20-cv-00859 (D. Del.)	14	M, C, F, U	<ul style="list-style-type: none"> • Complaint filed June 28, 2020
	<i>Genentech v. Centus</i>	No. 20-cv-00361 (E.D. Tex.)	10	M, U	<ul style="list-style-type: none"> • Complaint filed November 12, 2020 • Parties filed Joint Motion to Stay all Deadlines and Notice of Settlement • Motion to dismiss with prejudice granted due to parties’ settlement

Blockbuster Biologics: US Litigation Scorecard – Remicade

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims	Status
Remicade (5)	<i>Janssen v. Celltrion</i>	No. 15-10698-MLW (D. Mass.) No. 17-1120 (Fed. Cir.)	2	C, U	<ul style="list-style-type: none"> • Partial Summary Judgment of Invalidity granted with respect to one patent ('471 patent) • Federal Circuit dismissed appeal as moot upon affirming decision in appeal (No. 17-1257) from ex parte reexamination ruling by USPTO that same patent's claims are unpatentable for double patenting • Dismissed without prejudice in favor of No. 17-11008
	<i>Janssen v. Celltrion</i>	No. 16-11117-MLW (D. Mass.)	1	M (cell culture media)	<ul style="list-style-type: none"> • Dismissed without prejudice in favor of No. 17-11008
	<i>Janssen v. HyClone</i>	No. 16-00071-BCW (D. Utah)	1	M (cell culture media)	<ul style="list-style-type: none"> • Case administratively closed November 26, 2019, per related litigation in District of Massachusetts

Blockbuster Biologics: US Litigation Scorecard – Remicade (cont.)

Product (# of litigations)	Case Name	Case No. (Jurisdiction)	# of Asserted Patents	Types of Claims	Status
Remicade (5)	<i>Janssen v. Celltrion</i>	No. 17-11008 (D. Mass.) No. 18-2350 (Fed. Cir.) Lead appeal (No. 18-2321)	1	M (cell culture media)	<ul style="list-style-type: none"> Judgment entered for defendants after court allowed Motion for Summary Judgment of Non-infringement based on ensnarement Affirmed on appeal
	<i>Janssen v. Samsung Bioepis</i>	No. 17-3524-MCA-SCM (D.N.J.)	3	M	<ul style="list-style-type: none"> Janssen voluntarily dismissed its patent infringement claims Suit dismissed with prejudice

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